NIH What Do I Need to Encrypt?

As NIH staff, we have access to various types of information, including Personally Identifiable Informationn, and other types of Sensitive Information. It's our responsibility to know how to protect this information by understanding when and how to encrypt.

The NIH requires staff to encrypt all Sensitive Information sent via email.

Sensitive Information (SI) is defined as any information for which the loss of confidentiality, integrity, or availability couldbe expected to have a serious, severe, or catastrophically adverse effect on individuals, organizational operations, or assets. This includes the following types of SI:



1. Sensitive Personally Identifiable Information (PII) – PII in any form which if lost, compromised, or inappropriately disclosed could result in substantial harm, embarrassment, inconvenience, or unfairness to an individual –including elements that are sensitivealone as well as elements that become sensitive when combined with other information or because of the context in which they appear

Examples | Always Sensitive: Social Security number, driver's license, biometric identifiers, medical information

Examples | Sensitive in Context or Combined with Other Identifiers: Date of birth, criminal history, account numbers, citizenship status

Examples | Sensitive in Combination with Other Identifying Information: home address, personal phone numbers, mother's maiden name

Examples | Sensitive when Combined with Other Identifiers: When external collaborators or parties provide what is Protected Health Information (PHI) in their possession, in NIH's possession it is always PII and when combined with other identifiers could be Sensitive PII (e.g., medical information, laboratory results, diagnosis, medical bills, medical records)



2. Other Sensitive Information –All other information for which the loss of confidentiality, integrity, or availability could beexpected to have a serious, severe, or catastrophically adverse effect on individuals, organizational operations, or assetsExamples:

Examples: Grant applications, trade secrets, unpublished manuscripts, NIH purchase card information, financial documents

Best Practices for Handling Sensitive Information

Encryption is one of many ways we can protect SI. Below are other ways we can protect it in a variety of different circumstances.

RECORDING Digitally or on paper

If recording Sensitive PII, ensure your Privacy Coordinator has provided approval

DISCUSSING By phone or in person Do not discuss SI out loud in public

VIEWING Use a screen protector when viewing SI in public

SENDING By email, fax, or scan

Only share SI with those who need to know, and always encrypt when sending via email

PRINTING

Print only the SI you need and do not leave hard copies unattended

STORING

Never send SI to personal email accounts, and save only to NIH-authorized online storage

DISCARDING

Discard hard copies of SI only in secure shred bins at NIH facilities

NIH Approved Encryption Methods at the NIH

Messages that include Sensitive Information in the body or in attachments must be encrypted using one of the approved methods below. For information on how to encrypt a message with each method, see the next page.

Method and Recommended Use	Permitted Data	Recipient Types	PIV Card Required	Maximum Size	Permissions Required	Shared Mailboxes*	Message Retention	More Information
Office 365 Message Encryption (OME) Preferred encryption method for all non-medical messages under 150 MB, regardless of recipient	PII, SI	Internal or external Approved for Staff to Staff messages and External entities	No	150 MB	No permissions required for Office 365 users	Can send and receive	Permanent	<u>OME FAQs</u>
Secure Email/File Transfer (SEFT) Preferred encryption method for all non-medical messages over 150 MB, regardless of recipient	PII, SI	Internal or external Approved for Staff to Staff messages and External entities	No	200 GB account storage limit	Sender and receiver must register and log in to SEFT	Can't send or receive	30 days	<u>General</u> Information on SEFT
Secure/Multipurpose Internet Mail Extensions (S/MIME) and PIV-D Legacy encryption methods that use a PIV card to encrypt via laptop and phone respectively - OME is preferred	PII, SI	Internal only Approved for Staff to Staff messages	Yes	100-120 MB	Sender and receiver both need valid PKI certificates	Can't send or receive	Permanent	<u>S/MIME</u> Encryption <u>MobileIron:</u> <u>Derived PIV</u> (PIV-D) FAQs
Secure Health Messaging (SHM) Preferred method for messages between NIH care providers and from care providers to patients (messages attach to CRIS medical records by default)	PII, SI	Internal or external Approved for clinician to clinician about patient care and clinician to patient messages	No	No file transfer permitted – messaging only	For intramural use only – sender and receiver must log in to the EHR/patient portal	Can't send or receive	Permanent	<u>Clinical</u> <u>Center SHM</u> <u>Training</u>
Medical Secure Email (MSE) Preferred method for messages from NIH care providers to patients (allows attachment of files, messages are not automatically attached to medical records in CRIS)	PII, SI	Internal or external Approved for clinician to patient messages	No	200 GB account storage limit	For intramural use only - sender and receiver must log in to MSE	Can't send or receive	3 years	<u>Secure Mail</u> <u>User Guide</u>

* Note: NIH users can't send encrypted messages to listservs using any encryption method.

NIH How to Send Encrypted Messages

Click each method's name for more information, including guidance for external parties on how to view encrypted messages.

Office 365 Message Encryption (OME)

PC – Outlook 2016	PC – Outlook 2019	Mac – Outlook		Outlook Web	Mobile Device	
 Open a new email Select the Options tab Click Permission Select the <u>correct</u> <u>permission level</u> 	 Open a new email Select the Options tab Open the dropdown under the lock and select the <u>correct permission level</u> 	 Open a new email Select the Options tab Click Encrypt Open the dropdown by the lock and select the <u>correct</u> <u>permission level</u> 		 Open a new email Click Encrypt Click Change Permissions Select the <u>correct</u> permission level 	 Open a new email Type "[secure]" or "[encrypt]" in square brackets at the beginning of the subject line (not case sensitive) 	
Secure Email/File Transfe	e <u>r (SEFT)</u>		PIV Encryption for Mobile Devices (PIV-D)			
All NIH Users			All NIH Users	;		
 Before using for the first time, you must enable by contacting the <u>NIH IT Service Desk</u>. Navigate to <u>SEFT Webmail</u> using a web browser Sign in with your NIH credentials (type "NIH\" before your username) Click Secure Message Compose your message Click Choose Files to add any attachments Click Send 			 Before using for the first time, you must enable on your device by following these instructions for iOS or these instructions for Android. 1. Open a new email 2. Click the lock icon to the right of the email subject line 3. Select Encrypt 			

Secure/Multipurpose Internet Mail Extensions (S/MIME)

PC	Мас
1. Open a new email	1. Open a new email
2. Select the Options ribbon	2. Add the recipient's NIH email address to the To section
3. Select Encrypt in Permissions	3. Click the Security icon
4. Click Send and enter PIN	4. Click Encrypt Message
	5. Click Send and enter PIN

Secure Health Messaging (SHM)

All NIH Care Providers

Access the <u>Secure Health Messaging training materials</u> for an overview of messaging in CRIS and detailed instructions on how to send provider to provider or provider to patient messages.

Medical Secure Email (MSE)

All NIH Care Providers

- 1. Click Manage Packages and click the email icon to the right of the desired package name
- 2. Enter recipients, a subject, a secure message, and a notification message
- 3. Click Add Files to select files to upload and update other optional preferences as needed
- 4. Click **Send** to deliver the package