# Table of Contents

Legislative Drivers (Public Laws): ................................................................................................................ 1
Presidential Directives: ....................................................................................................................................... 3
Federal Regulations: ........................................................................................................................................... 4
  Code of Federal Regulations (CFR): .................................................................................................................. 4
  Federal Acquisition Regulations (FAR): ............................................................................................................ 4
  Health and Human Services Acquisition Regulations (HHSAR): ................................................................. 4
Federal Publications: .......................................................................................................................................... 5
  Federal Information Processing Standards (FIPS): .............................................................................................. 5
  National Institute of Standards and Technology (NIST): ................................................................................. 5
Office of Management and Budget Guidance (OMB): ....................................................................................... 7
  OMB Circulars: ................................................................................................................................................. 7
  OMB Memoranda: .......................................................................................................................................... 7
    Calendar Year 2014: ...................................................................................................................................... 7
    Calendar Year 2012: ...................................................................................................................................... 7
    Calendar Year 2011: ...................................................................................................................................... 7
    Calendar Year 2010: ...................................................................................................................................... 8
    Calendar Year 2009: ...................................................................................................................................... 8
    Calendar Year 2008: ...................................................................................................................................... 8
    Calendar Year 2007: ...................................................................................................................................... 9
    Calendar Year 2006: ...................................................................................................................................... 9
    Calendar Year 2005: ...................................................................................................................................... 10
    Calendar Year 2004: .................................................................................................................................... 11
    Calendar Year 2003: .................................................................................................................................... 11
    Calendar Year 2002: .................................................................................................................................... 11
    Calendar Year 2001: .................................................................................................................................... 11
    Calendar Year 2000: .................................................................................................................................... 12
    Calendar Year 1999: .................................................................................................................................... 12
    Calendar Year 1998: .................................................................................................................................... 12
HHS Privacy Policy: ............................................................................................................................................ 13
HHS Cybersecurity Program Privacy Documents: ............................................................................................. 14
NIH Policy, Provisions & Guidelines: ................................................................................................................ 16
National Archives and Records Administration (NARA): .................................................................................. 18
Training: ........................................................................................................................................................... 19
Websites: ........................................................................................................................................................... 20
  Health and Human Services (HHS): .................................................................................................................. 20
  National Institutes of Health (NIH): ................................................................................................................... 20
Other Useful Websites: .................................................................................................................................... 22
Legislative Drivers (Public Laws):

  - [http://www.coppa.org/coppa.htm](http://www.coppa.org/coppa.htm)
  - [http://www.coppa.org/comply.htm](http://www.coppa.org/comply.htm)


  - [http://www.gpo.gov/fdsys/pkg/STATUTE-100/pdf/STATUTE-100-Pg1213.pdf](http://www.gpo.gov/fdsys/pkg/STATUTE-100/pdf/STATUTE-100-Pg1213.pdf)

  - [http://www.whitehouse.gov/omb/infogov/final_guidance_pl100-503.pdf](http://www.whitehouse.gov/omb/infogov/final_guidance_pl100-503.pdf)


  - [http://www.ecfr.gov/cgi-bin/textidx?c=ecfr&sid=11975031b82001bed902b3e73f33e604&rgn=div5&view=text&node=34:1.1.1.33&idno=34](http://www.ecfr.gov/cgi-bin/textidx?c=ecfr&sid=11975031b82001bed902b3e73f33e604&rgn=div5&view=text&node=34:1.1.1.33&idno=34)


  http://www.nih.gov/icd/od/foia/efoia.htm


  http://www.justice.gov/opcl/privstat.htm

Presidential Directives:

Federal Regulations:

**Code of Federal Regulations (CFR):**

- 45 CFR, Part 5b, HHS Privacy Act Regulations: http://ecfr.gpoaccess.gov/cgi/t/text/text-idx?c=ecfr&sid=d8c05a9cf0b3dd219f61ecf068cb7260&rgn=div5&view=text&node=45:1.0.1.1.7&idno=45

**Federal Acquisition Regulations (FAR):**

- FAR Part 1.602-1(b), Career Development, Contracting Authority, and Responsibilities: http://www.acquisition.gov/FAR/current/html/Subpart%201%206.html#wp1050927
- FAR Part 24, Protection of Privacy and Freedom of Information: http://www.acquisition.gov/far/current/html/Subpart%2024%201.html#wp1074189
- FAR Part 39.105, Privacy: http://www.acquisition.gov/far/current/html/Subpart%2039%201.html#wp1096819
- FAR Part 52.224-1, Privacy Act Notification: http://www.acquisition.gov/FAR/current/html/52_223_226.html#wp1168976
- FAR Part 52.239-1, Privacy or Security Safeguards: http://www.acquisition.gov/FAR/current/html/52_223_240.html#wp1113650

**Health and Human Services Acquisition Regulations (HHSAR):**

Federal Publications:

**Federal Information Processing Standards (FIPS):**


**National Institute of Standards and Technology (NIST):**

- NIST Special Publications (SP), Complete list of NIST Publications: [http://csrc.nist.gov/publications/PubsSPs.html](http://csrc.nist.gov/publications/PubsSPs.html)


• NIST SP 800-53, Revision 4, Security and Privacy Controls for Federal Information Systems and Organizations (April 2013): http://dx.doi.org/10.6028/NIST.SP.800-53r4


Office of Management and Budget Guidance (OMB):

- Exhibits 53 and 300 – Information Technology and E-Government:

OMB Circulars:

- OMB Circular A-11, Preparation, Submission, and Execution of the Budget (July 26, 2013):

- OMB Circular A-130, Management of Federal Information Resources (November 28, 2000):
  http://www.whitehouse.gov/omb/circulars/a130/a130trans4.html

OMB Memoranda:

Calendar Year 2014:

- M-14-04, Fiscal Year 2013 Reporting Instructions for the Federal Information Security Management Act and Agency Privacy Management (November 18, 2013):

Calendar Year 2012:

- M-12-20. FY 2012 Reporting Instructions for the Federal Information Security Management Act and Agency Privacy Management (September 27, 2012):
  http://www.whitehouse.gov/sites/default/files/omb/memoranda/2012/m-12-20.pdf

Calendar Year 2011:

- M-11-02, Sharing Data While Protecting Privacy (November 3, 2010):
Calendar Year 2010:


Calendar Year 2009:


Calendar Year 2008:

Calendar Year 2007:


Calendar Year 2006:


• M-06-16, Protection of Sensitive Agency Information (June 23, 2006): [Link]

• M-06-15, Safeguarding Personally Identifiable Information (May 22, 2006): [Link]


Calendar Year 2005:


• M-05-08, Designation of Senior Agency Officials for Privacy (February 11, 2005): [Link]

• M-05-05, Electronic Signatures: How to Mitigate the Risk of Commercial Managed Services (December 20, 2004): [Link]

Calendar Year 2004:


Calendar Year 2003:


Calendar Year 2002:


Calendar Year 2001:


• M-01-05, Guidance on Inter-Agency Sharing of Personal Data – Protecting Personal Privacy (December 20, 2000): http://www.whitehouse.gov/omb/memoranda_m01-05/

Calendar Year 2000:

• M-00-13, Privacy Policies and Data Collection on Federal Web Sites (June 22, 2000): http://www.whitehouse.gov/omb/memoranda_m00-13/

• M-00-07, Incorporating and Funding Security in Information Systems Investments (February 28, 2000): http://www.whitehouse.gov/omb/memoranda_m00-07/

Calendar Year 1999:


• M-99-18, Privacy Policies on Federal Web Sites (June 2, 1999): http://www.whitehouse.gov/omb/memoranda_m99-18/


Calendar Year 1998:

HHS Privacy Policy:

- HHS General Administration Manual, Chapter 45-10, Privacy Act – Basic Requirements and Relationships:  

- HHS General Administration Manual, Chapter 45-13, Safeguarding Records Contained in Systems of Records:  

- HHS Privacy Impact Assessment (PIA) Standard Operating Procedures:  
  http://intranet.hhs.gov/it/docs/policies_guides/PIA/PIA_SOP.html

- HHS Policy for Internet Domain Names:  
  http://www.hhs.gov/ocio/policy/200501.html

- HHS Policy for Section 508 Compliance:  
  http://www.hhs.gov/web/508/contracting/hhs508policy.html

- HHS Rules of Behavior for Use of HHS Information Resources:  
  http://www.hhs.gov/ocio/policy/hhs-rob.html

- HHS Information Security Program Policy:  
  http://www.hhs.gov/read/irmpolicy/FINALHHSInformationSecurityProgramP.doc

- HHS Information Security Privacy Program Policy Memorandum:  
HHS Cybersecurity Program Privacy Documents:

- HHS OCIO Policies, Standards and Charters

- HHS Privacy
  [http://intranet.hhs.gov/it/cybersecurity/privacy/index.html](http://intranet.hhs.gov/it/cybersecurity/privacy/index.html)

- HHS-OCIO Policy for Information Systems Security and Privacy:

- HHS-OCIO Memo for the Implementation of OMB M-10-22 and 23:

- HHS-OCIO Guide for Using Web Measurement and Customization Technologies:

- HHS-OCIO Policy for Information Systems Security and Privacy (IS2P) Handbook:

- HHS-OCIO Policy for Privacy Impact Assessment (PIA):
  [http://www.hhs.gov/ocio/policy/20090002.001.html](http://www.hhs.gov/ocio/policy/20090002.001.html)

- HHS-OCIO Policy for IT Security and Privacy Incident Reporting and Response:

- HHS-OCIO-Policy for Machine-Readable Privacy Policies:

- HHS-OCIO-Policy for Machine-Readable Privacy Policies Guide:

- HHS-OCIO Incident Management and Response Website:
  [http://www.hhs.gov/ocio/securityprivacy/incidentmanagement/incidentresp.html](http://www.hhs.gov/ocio/securityprivacy/incidentmanagement/incidentresp.html)

- HHS-OCIO Policy for Managing the Use of Third-Party Websites and Applications:

- HHS Updated Departmental Standard for the Definition of Sensitive Information
HHS Policy for Personal Use of Information Technology (IT) Resources: 

HHS Standard for Encryption of Computing Devices: 
http://intranet.hhs.gov/it/cybersecurity/docs/policies-guides-encryption.pdf

Machine-Readable Privacy Policy FAQs: 
http://intranet.hhs.gov/it/cybersecurity/docs/privacy/MRFAQ/mrpp_faq.pdf

Privacy in the System Development Lifecycle (SDLC): 
http://intranet.hhs.gov/it/docs/privacy/PSDLC/Privacy_in_SDLC.html

Privacy Tri-Fold Brochure: 
http://intranet.hhs.gov/infosec/docs/privacy/Trifold/Privacy_Tri-fold.pdf
NIH Policy, Provisions & Guidelines:

- NIH Manual Chapter 1130, Delegations of Authority: Program, General 4B, Privacy Act Appeals:  

- NIH Manual Chapter 1183, NIH Publications and Audiovisuals: Preparation, Review, Approval, and Distribution:  

- NIH Manual Chapter 1184, Scientific, Technical, and Other Professional Information Presented by NIH Employees:  
  Review, Approval, and Distribution:  

- NIH Manual Chapter 1186, Use of NIH Names and Logos:  

- NIH Manual Chapter 1743, NIH Records Control Schedule “Keeping and Destroying Records”:  

- NIH Manual Chapter 1744, NIH Vital Records Program:  

- NIH Manual Chapter 1745, NIH Information Technology (IT) Privacy Program:  

- NIH Manual Chapter 1745-1, NIH Privacy Impact Assessments:  

- NIH Manual Chapter 1754, Reporting Allegations of Criminal Offenses, Misuse of NIH Grant and Contract Funds, or Improper Conduct by an NIH Employee:  

- NIH Manual Chapter 1825, Information Collection from the Public:  

- NIH Manual Chapter 2400-01, Introduction to Government Ethics at the NIH:  
  http://www1.od.nih.gov/oma/manualchapters/ethics/2400-01/

- NIH Manual Chapter 2400-04, Managing Conflicts of Interests and the Introduction of Bias:  
  http://www1.od.nih.gov/oma/manualchapters/ethics/2400-04/


National Archives and Records Administration (NARA):


Training:

- HHS Privacy Awareness Training: http://www.hhs.gov/ocio/securityprivacy/awarenesstraining/awarenesstraining.html

- HHS Security Education and Awareness Website: http://intranet.hhs.gov/it/cybersecurity/training/index.html


- NIH Privacy and Information Security Awareness Training: http://irtsectraining.nih.gov/
Websites:

**Health and Human Services (HHS):**

- HHS Residual Standards of Conduct: [http://ethics.od.nih.gov/LawReg/HHS-Resid-Std.HTM](http://ethics.od.nih.gov/LawReg/HHS-Resid-Std.HTM)
- HHS Supplemental Standards of Ethical Conduct for Employees of DHHS: [http://ethics.od.nih.gov/LawReg/5cfr5501.pdf](http://ethics.od.nih.gov/LawReg/5cfr5501.pdf)

**National Institutes of Health (NIH):**

- NIH Privacy Web Page:  

- NIH Privacy SharePoint Website (NIH Employees Only):  
  http://oma.nih.gov/dms/programs/privacy/SitePages/Home.aspx

- NIH Records Management Web Page:  

- NIH FOIA Web Page:  
  http://www.nih.gov/icd/od/foia/

- NIH HIPAA Web Page:  
  http://privacyruleandresearch.nih.gov/

- NIH Privacy Act Systems of Records (SOR) Notices:  

- NIH Website Privacy Policy Statement:  
  http://www.nih.gov/about/privacy.htm

- NIH Ethics Program:  
  http://ethics.od.nih.gov/

- NIH Web Authors Group (WAG) Policy & Guidance on Web Site Development, Management, and Evaluation:  

- NIH, OCPL Guidance on Developing Web Sites at NIH:  

- NIH OMB Project Clearance:  
  http://grants.nih.gov/grants/oer_offices/opera.htm
Other Useful Websites:

- US-CERT:  
  http://www.us-cert.gov/

- U.S. Postal Inspection & FBI Funded Website - Looks Too Good To Be True:  
  http://www.lookstoogoodtobetrue.com/index.aspx

- OnGuard Online – Your Safety Net:  
  http://onguardonline.gov

- Federal Trade Commission Website - Fighting Back Against Identity Theft:  
  http://www.ftc.gov/idtheft

- IBM Social Computing Guidelines:  